

BSTL DISCLOSURES – FOREIGN BOND AGENCY AND FOREIGN SECURITIES BROKERAGE SERVICES

We are providing these disclosures to you in connection with your relationship with Barclays Securities Taiwan Limited (台灣巴克萊證券股份有限公司) (“**BSTL**”) and Barclays Bank PLC (“**BBPLC**”, together with BSTL, “**Barclays**”). We wish to inform you of the relationship which you have with each of BSTL and BBPLC in respect of the sale and purchase transactions in foreign securities and/or foreign bonds entered into by you or by BSTL on your account with BBPLC, in each case as contemplated by the Master Agreement for Purchase and Sale of Foreign Bonds (“**foreign bond agency services**”) and Master Terms and Conditions for Opening of Account for Trading of Foreign Securities (“**foreign securities brokerage services**”) entered into by you and BSTL. For the avoidance of doubt, the disclosures herein are solely for the purposes of applicable regulations in the United Kingdom (including but not limited to the Financial Conduct Authority Conduct of Business rules) (“**UK regulations**”)

Unless otherwise agreed with you, BBPLC will (a) be considered as providing all services to BSTL (who will act as agent) and (b) treat BSTL alone as its regulatory client. Accordingly, there shall be no regulatory client relationship for the purposes of UK regulations between you and BBPLC, and the nature and extent of BBPLC’s regulatory duties to BSTL will be determined according to the client classification given by BBPLC to BSTL.

BSTL will be your principal contact in relation to sale and purchase transactions in foreign securities and/or foreign bonds entered into by you or by BSTL on your account with BBPLC, and you should direct all communications to BSTL.

You acknowledge that BSTL acts as your agent in dealing with BBPLC for the purposes of applicable UK regulations. Moreover:

- (i) In the case of foreign bond agency services provided by BSTL, the regulatory client relationship will be between you and BBPLC, Hong Kong Branch (and not the head office or any other branch of BBPLC) to the extent permitted by Taiwan regulations.
- (ii) In the case of the foreign securities brokerage services, the regulatory client relationship will be between you and BSTL.